

Guidelines for a publication-based doctoral thesis

according to the Subject-Specific Doctoral Regulation of the Faculty of Social and Educational Sciences at the University of Passau of 24 March 2023 (FPromO) and § 9 of the General Doctoral Degree Regulation of 3 August 2018 as amended on 1 December 2020 (APromO)

If the doctoral thesis is submitted in a publication-based format according to the first sentence of § 6(1) FPromO, the following must be observed:

1. The thesis format must be agreed with the supervisor.
2. A doctoral thesis is publication-based when a number of publishable articles or articles previously peer-reviewed and published in relevant journals or edited volumes or articles accepted for publication are submitted, which all together are equivalent to a monograph.

The supervisor shall be responsible for assessing relevance.

3. The inner coherence of the articles must be outlined in a complementary summary (synopsis) that critically assesses the publications from an overarching perspective.
4. The minimum number of articles to be submitted must be agreed with the supervisor and stipulated in the agreement on objectives (see Annex to the supervision agreement).
5. The doctoral candidate must be sole or first author of the greater part of these articles. Shared authorship does not equate to the aforementioned sole authorship. In the event of co-authorship, the doctoral candidate's own contribution must be identified in a statement of authorship pursuant to the second sentence of § 9(1) no. 6 APromO.
6. According to the second sentence of § 9(1) no. 7 APromO, the application for admission to the doctoral examination must be accompanied by a list of all the doctoral candidate's publications relating to the doctoral thesis (see form "Disclosure regarding publication-based doctoral thesis").
7. In the event of a publication-based doctoral thesis, the individual parts may be published at different times once the doctoral candidate has passed the doctoral examination in accordance with the tenth sentence of § 10(1) FPromO. The copy for the archives of the faculty must always be the whole doctoral thesis (synopsis and all the articles).
8. In the case of a publication-based doctoral thesis, the lead supervisor's permission to print must also be obtained prior to printing.

The permission to print is granted after successful completion of the doctoral procedure if the copy of the thesis to be reproduced or published for the delivery of the deposit copies meets the requirements for changes in the reviews.

Source: Board of Examiners for Doctoral Awards of the Faculty of Social and Educational Sciences, June 2023